Abstract

Two major approaches to defining the semantics of inaccurate boundary representations have been proposed in the literature. They are referred to here as single-set semantics, and class-of-sets semantics, respectively. Our description of the distinction between these two approaches focuses on the nature of the topological regularity on which they are based (classical regularity vs. $\epsilon$-regularity).

It is shown in this note that both approaches may be useful, depending on what information is available. As an illustrative example, an elementary result is given, for a point-membership-classification algorithm, that is sufficiently general to be of practical interest but sufficiently special to be transparent.

Keywords

Semantics, regularity, point-membership, inaccurate representations, broken models

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1 Introduction

The first significant study of the problem of attaching formal meaning to computer representations, in the context of shape interrogation and geometric modeling, was contained in work by Requicha and Voelcker that began in the 1970s. It was observed there that first, we must distinguish between a representation and the subset (or the class of subsets) that the representation specifies, since there may be many different representations for the same subset, or the same class of subsets. But, further, these early papers introduced formal semantics related to several other relevant aspects of the problem:

- an ideal solid (an $r$-set [1], which is defined as a bounded, semi-analytic, regular-closed subset of $R^3$);

- a class of ideal solids [2, Sec. 3.5], (which may be defined [3] using set inclusion as $C = \{ S : S_- \subseteq S \subseteq S_+ \}$, with various possible conditions on $S$, $S_-$ and $S_+$); this is appropriate in cases where an individual solid is not specified (an example [3, Fig. 4] is shown in Figure 1);

- a certain method of representation, referred to by the name of constructive solid geometry, or CSG (which defines a solid procedurally, by means of a binary tree that can be viewed as a symbolic representation of the object); and

- another method of representation, referred to by the name of boundary representation (which defines a solid in terms of a finite two-dimensional cell complex, embedded in $R^3$, with injective attaching maps and, possibly, multiply-connected faces [4]).

![Figure 1: Specifying a class of solids](image)

Other, different, representations and semantics were introduced later, by other authors [5], that are appropriate for infinitely thin objects, or objects that are not composed of homogeneous material (see also [6]). Here, however, we restrict our attention to the case of objects composed of homogeneous material.

For many practical reasons, the kernel of a modern commercial solid modeler is based on a boundary representation, and such representations (which use trimmed-NURBS patches\(^1\)) suffer inherently from error. This error implies that they are inaccurate, and may contain many kinds of geometric and topological inconsistencies. The main sources of these errors are as follows: uncertainty in input data; the use of low finite-precision numerical representations for numbers which require higher precision, or which may, even in perfectly ordinary circumstances, be irrational; the use of finite-precision arithmetic to implement arithmetic operations; and, the use of low-degree polynomials to approximate high-degree intersection curves. Two common situations where these errors cause serious and costly difficulties are Boolean set operations, and translation between different kinds of boundary representations. These factors have led to various efforts to define the semantics of inaccurate boundary representations, as a first step towards proving theorems.

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\(^1\)NURBS (Non-Uniform Rational B-Splines).
about the robustness of numerical algorithms applied to these representations. Such a semantics supplies
the meaning for, and must be based on, the data provided by a “user” – a generic term that we will use
throughout to refer to a human user and/or computer application.

The present authors have, in separate papers [7, 8, 9], proposed two different approaches to defining the
semantics of inaccurate boundary representations. One of the main differences between these two approaches
is the nature of the topological regularity on which they are based. The two approaches will be described
very briefly now, and in more detail in the next section.

The first approach was used in the context of trimmed-NURBS representations, and depended on the
Whitney Extension Theorem to introduce Quasi-NURBS surfaces that provide well-defined solids [7]. The
mathematical theory was based on the classical concept of regularity, and the underlying concepts of in-
terior and closure in the topology of \( \mathbb{R}^3 \). This approach introduced the concepts of well-formedness of an
(inaccurate) representation, along with the definition of the (single) regular-closed set specified by such a rep-
resentation, and showed how these concepts can be used to support a classical backward error analysis [7, 8].
Similar concepts can be introduced [10] for the case of subdivision-surface representation of non-manifold
\( r \)-sets [11].

The second approach [9] was based on a new concept of regularity, called \( \varepsilon \)-regularity, which captures the
idea of tolerating small (\( \varepsilon \)-size) irregularities in the forms of errors, uncertainty, and/or approximation. We
can view this approach as a generalization of the classical concept of regularity, and also as an extension of
the idea of representing a class of solids in the form

\[
\mathcal{C} = \{ S : S_- \subseteq S \subseteq S_+ \},
\]

as mentioned above (see [2], and [3, 12, 13]). Assigning a semantics to inaccurate and inconsistent rep-
resentations using \( \varepsilon \)-regularity provides, in particular, an alternative way to deal with the gaps and overlaps
inherent in trimmed-NURBS representations. It can also be used, however, in more general contexts, as will
be described below.

The purpose of this note is to show that each of the two semantic models, just described, may be useful,
depending on what information is available. We will do this by describing circumstances where each is
appropriate, and by outlining some simple proofs in each case, to illustrate the fundamental ideas.

Subsequent sections are organized as follows. In Section 2 we compare the two models, discussing in par-
ticular the Quasi-NURBS semantics for boundary representations, and the Virtual-Interval representational
method. In Section 3 we summarize an elementary result for a point-membership-classification algorithm,
to illustrate clearly the contexts in which each of the semantic models is useful. Section 4 is the conclusion.

2 Two approaches to the semantics of inaccurate boundary rep-
resentations

The Quasi-NURBS semantics for inaccurate data [7, 8] was introduced in the context of the standard
trimmed-patch representation [14, 15]. It defines a single (unique) set to be interpreted as the set specified
by a well-formed representation, and we will refer to it therefore as an example of “single-set semantics.”
The concept of regularity used in single-set semantics is classical regularity in the usual topology of \( \mathbb{R}^3 \). In
contrast to these ideas, certain representational methods related to the concept of \( \varepsilon \)-regularity can be viewed
as associating a class of sets, or even classes of sets, with the inaccurate data (thus providing a “class-of-
sets semantics”). The \( \varepsilon \)-regularity approach can be used even in contexts where our information about the
representation is incomplete.

2.1 Single-set semantics

Figure 2 shows a collection of geometric data, and the associated topological data, in a trimmed patch
representation for an ordinary solid cube. The topological data, in this case, is simply the ordinary three-
dimensional cube, with eight 0-cells, twelve 1-cells, and six 2-cells. There are six geometric faces, which

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are eight explicit geometric vertices, corresponding to the eight 0-cells of the topological data. As illustrated in Figure 2, none of the geometric entities necessarily fit together as they should: edges of two adjacent geometric faces may overlap, or be separated by a gap, or both; a geometric boundary curve may fail to coincide with its adjacent face edges; and, finally, the geometric vertices will usually not correspond to the corners of the adjacent geometric faces, nor even to the endpoints of the incident geometric boundary curves.

Let us suppose that, for a cube with the approximate dimensions shown in Figure 2, the problem of entering the solid into the modeling system is well-conditioned; that is, if there is uncertainty about the exact value that is intended for one of the geometric dimensions, perturbing this value does not have a noticeable effect on, say, the image displayed by a certain renderer. Similarly, suppose the algorithm to create this solid (for example, by extruding an approximate rectangle lying approximately in a plane) is stable. Such an algorithm will certainly incur small errors, due to the use of finite-precision arithmetic, but the assumption just mentioned means that these errors have no significant effect from the point of view of the user [8]. Even with these assumptions, and even for a solid as simple as a cube, the geometric data of the resulting model is mathematically inconsistent, as illustrated in Figure 2. If we wish to assign a meaning to this inconsistent data, in order to prove theorems about the results of subsequent operations applied to the solid, how should we proceed?

One approach to this question is to assign (under certain weak hypotheses on the data which permit the assignment to be made), a single set \( S \) that is considered to be the unique set specified by the inconsistent data \( \Delta \). (We write \( S \vdash \Delta \).) This set \( S \) is first proved to satisfy many desirable conditions. For example, it is proved that the geometric characteristics of \( S \) are very similar to those of the provided data \( \Delta \), that the boundary \( \partial S \) satisfies certain smoothness criteria, that under suitable conditions the topological properties of \( S \) are the same as those of the provided data (and consistent with the previously mentioned geometric data [10]), and so on. Then, it is assumed that \( S \) is an appropriate interpretation of the inconsistent data \( \Delta \).

The outline just given is a brief description of the approach taken in [7, 8]. There, it was assumed that the given geometric data for a trimmed-NURBS patch is as shown in Figure 3: the domain \( D \) of a patch is a subset of \( D_0 = [0,1]^2 \) that is defined by so-called \( p \)-curves \( p \) within \( D_0 \); further, the underlying NURBS surface is defined by \( F \), and the trimmed patch itself by \( F[D] \); also, between two adjoining trimmed patches \( F[D] \) and \( F[D'] \), there is an explicit parametric boundary curve \( b(t) \), which approximates the corresponding edges of \( F[D] \) and \( F[D'] \); and, finally, at each corner formed by a group of adjoining patches, there is an explicit geometric vertex \( v \) which approximates the endpoints of \( b(t) \), and which approximates the corners of the adjoining patches. This geometric data, along with the topological data mentioned earlier, constitute the given data \( \Delta \). Then, the given geometric faces and boundary curves of the trimmed-NURBS representation were perturbed, or slightly adjusted, to yield what was called a Quasi-NURBS set. The adjusted faces of the Quasi-NURBS set \( S \) fit together exactly, in a way that is consistent with the given topological data. Furthermore, the size of the adjustment in a geometric face is no larger than the largest discrepancy already existing in the face at its boundary, according to the given data. Also, the rate of variation of the necessary adjustment, as measured by a Lipschitz constant over the entire domain \( D \), is no larger than the rate of variation already present in the given face along its boundary. (Informally, we might say that the \( G^0 \) and

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**Figure 2:** Geometric and topological data for an approximate cube

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Inaccurate geometry
Topological structure
Finally, smoothness guarantees\(^2\) for the boundary of \(S\) were given. It is important to be clear about the fundamental assumption involved here: as already stated, it is assumed that \(S\) is an appropriate interpretation of the inconsistent data \(\Delta\). In order to convince the end user that \(S\) is in fact an appropriate interpretation of \(\Delta\), we can list all of the desirable characteristics of the set \(S\) satisfying \(S \models \Delta\), as in the preceding paragraph; we can, further, point out that a user who has provided inconsistent information, and who also views this data as a representation of an r-set, should not object if we take the data to represent some particular r-set that is nowhere in error by more than the error inherent in the original data; we can, also, observe that the uncertainty inherent in the user’s data (measurement error, and error incurred when entering the data into the computer) may well be as large or larger than the data adjustment involved in matching \(S\) with \(\Delta\); and, finally, we can observe that error must be tolerated in the eventual manufacture of the solid, and discrepancies in the provided data should be smaller than this. But ultimately, in order to proceed, we must assume that the end user accepts \(S\) as an appropriate interpretation of the inconsistent data \(\Delta\).

Suppose, however, that in view of the remarks above, this assumption is acceptable. We are then in the enviable position of having available a unique, well-defined mathematical object about which we can prove theorems. For example, we might prove that certain attributes, such as texture coordinates, can be correctly associated with the faces of the objects [17, 18]. Or, we might prove that a certain algorithm correctly computes the surface area of the boundary, or part of the boundary, to within a specified error. Or, we might prove that the straightforward algorithm to effect rigid motions on the solid produces another well-formed solid which is correct to within a specified error. And, similarly, we are as well-positioned as we possibly can be to prove that more complicated algorithms, such as set-intersection algorithms, are stable when applied to given input data [7, 8].

### 2.2 Class-of-sets semantics

In contrast to the ideas of Section 2.1, the approach described in [9] is based on associating a class of sets, and often even classes of sets, with inaccurate data. A typical model or engineering artifact undergoes many transformations, translations, and other changes over the duration of its life cycle. The model’s topology

\(^2\text{In [7], only continuity was assured, although the extension theorems necessary to obtain higher degrees of continuity are available [16].}\)
and geometry may be subject to any number of additional application-dependent constraints, including constraints on smoothness and engineering parameters (such as dimensions and tolerances). Under such conditions, a combinatorially equivalent well-formed set, such as a Quasi-NURBS set, or a set obtained by another single-set-semantics approach, may not exist, or may not be easily computable (even theoretically) for a particular inconsistent representation. More generally, data exchange standards do not specify the topology or the smoothness of the representations, and many geometric repair procedures modify both the geometry and topology of the representation. In such situations, the single-set semantics is not applicable. Even if a suitable well-formed representative does exist for any particular instance of the model, there is no guarantee that it will preserve all other constraints throughout the model’s life cycle.

To establish the context for the subsequent discussion, we emphasize that we are now concerned not with the use of a single boundary-defining representational method, such as trimmed-NURBS patches, but rather with a more general environment where many different representations may be involved, including representations that might not be known in advance, and even including representations whose nature might not be clearly understood by the end user. The intuitive idea of representing sets in terms of their boundaries is still present, but at this point nothing is assumed about the nature of these boundaries. For example, they might be defined by trimmed-NURBS patches; or, by data originating from trimmed-NURBS patches but which contains inconsistencies, as in Section 2.1; or, by a triangular mesh; or, say, by a triangular mesh badly in need of repair. In fact, in principle, they might be defined by a fractal, or some other complicated mathematical process that uses given numerical data. This minimalist view is particularly useful in situations, such as translation between different kinds of boundary representations, where it may be necessary to deal simultaneously with more than one interpretation of the given data. Additional geometric and topological properties of the represented sets will however need to be known, or have to be assumed, for development of specific algorithms, as will be explained in Section 2.2.3 and illustrated in Section 3.2.4.

### 2.2.1 The Virtual-Interval representational method

The class-of-sets semantics is assigned to a given geometric representation via an associated representational method, which we call the Virtual-Interval representational method. We begin our discussion, as in Section 2.1, by describing the mechanics of the representational method. The name “Virtual-Interval” arises from the fact that the representation is based on intervals of the form \( \{ S : S_- \subseteq S \subseteq S_+ \} \), which is suggestive of the “virtual conditions” used in mechanical tolerancing [19].

We will again denote the given data (i.e., the data actually stored in the computer), for a specific set, by \( \Delta \), but initially nothing is assumed known about \( \Delta \): it might correspond to any of the cases listed in the second paragraph of Section 2.2, or to some representational method unknown to us.

We define the Point Membership Classification (PMC) function by

\[
\Pi_\Delta : \mathbb{R}^3 \rightarrow \{in, out, on\};
\]

this function defines the output of an actual implemented version of a procedure that attempts to compute whether a point in \( \mathbb{R}^3 \) is, or is not, in the set \( S \) represented by \( \Delta \), or whether the point is on the boundary. We next define

\[
S_{in} = \{ x : \Pi_\Delta(x) = in \},
\]

and

\[
S_{out} = \{ x : \Pi_\Delta(x) = out \},
\]

from which it follows that

\[
S_{in} \subseteq S_{out}^c,
\]

where \( c \) denotes set complement. (In most applications \( S_{in} \) will be a bounded set.)

Suppose now that there exist sets \( S_- \) and \( S_+ \), depending on the choice of PMC algorithm, satisfying

\[
S_- \subseteq S_{in} \subseteq S_{out}^c \subseteq S_+,
\]

where \( S_- \) and \( S_+ \) are known to be in some sense close. Then the PMC algorithm, in conjunction with the theorem establishing (2), induces a class of sets

\[
\{ S : S_- \subseteq S \subseteq S_+ \}
\]
and we can think of the pair \([S_-, S_+]\) as representing the set associated with \(\Delta\). This is the Virtual-Interval representational method. It can be seen that this approach involves a class-of-sets semantics, with \(S\) represented procedurally (albeit approximately) through the PMC function \(\Pi_\Delta\) and its implementing algorithms.

An example illustrating how to prove the existence of such sets \(S_-\) and \(S_+\) will be given below, in Section 3.2. This proof requires additional hypotheses, which will be given there. But it is important to the understanding of the difference between the single-set approach, and the class-of-sets approach, to observe that such a proof is only an example of what we might express using the class-of-sets semantics. The remainder of Section 2.2 contains a discussion of this point.

First of all, if we make no further assumptions, then the sets \(S_{in}\) and \(S_{out}\) could have forms that are nothing like that suggested in Figure 1: for example, there is nothing to prevent isolated points from \(S_{in}\) being scattered throughout an otherwise homogeneous set \(S_{out}\). But, in practice, users will generally have a good idea of the precision of the PMC algorithm. For example, with many CAD systems the user can set tolerances on entities such as faces and edges. Similarly, simple voxelization or octree-based methods, such as those used for model repair, can provide a reasonable estimate for a “maximum gap size” \(\delta\). Suppose, then, that the geometric data in \(\Delta\) is a collection of geometric entities (subsets of \(R^3\), such as faces, edges, voxels, etc., of a geometric representation \(\Delta\)), and that the union of these entities is \(G \subseteq R^3\). If it is believed that the maximum gap size for the PMC algorithm being used is \(\delta\), it may be quite reasonable to make the hypothesis that

\[
\bigcup_{x \in G} B(x, \delta),
\]  

(3)

where \(B(x, \delta)\) is the open ball of diameter \(\delta\) about \(x\), determines computable regions \(S_-\) and \(S_+\) such that (2) is satisfied, as illustrated in Figure 4.

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![Figure 4: Covering G with δ-balls](image-url)

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A reader inadvertently thinking in terms of a single representation such as a trimmed-NURBS representation may be left with the impression that this hypothesis is merely assuming away some of the serious difficulties of inconsistent representations. But the crucial point is that we may be working in a setting with much less specific information than this, and even in these more-general settings, there are useful things to be said. We will elaborate on this remark by summarizing the idea of \(\epsilon\)-regularity.

### 2.2.2 The concept of \(\epsilon\)-regularity

Interpreting a representation \(\Delta\) as a class of sets in a virtual interval \([S_-, S_+]\) introduces a new complication. With a single-set semantics, the represented set is assumed or proved to be a valid \(r\)-set: a compact, regular
and semi-analytic subset of $\mathbb{R}^3$. This definition clearly does not apply to a virtual interval $[S_-, S_+]$. We could require that every set in the interval be an $r$-set, but such a requirement would severely limit the usefulness of the Virtual-Interval method. For a detailed discussion of this and related issues, the reader is referred to [9], but here we simply mention the key properties of a virtual interval that make it useful in practice. A virtual interval should be “regular” in some sense similar to the classical notion of a regular-closed or regular-open set. But since we have no explicit notion of exact boundary, the new notion of regularity must tolerate some errors and imperfections of small size measured by a distance between $S_-$ and $S_+$. In turn, this implies that $S_-$ and $S_+$ should be in some sense close to each other.

In the context of the class-of-sets semantics, these requirements are expressed by means of an $\epsilon$-regular interval. Indeed, in [9] it was argued that a suitable class-of-sets semantics is captured through the $\epsilon$-topological operations $k_\epsilon$, and the notion of $\epsilon$-regular interval requiring that

$$i_\epsilon(S_+) \subseteq S_- \text{ and } k_\epsilon(S_-) \supseteq S_+,$$

(4)

where

$$i_\epsilon(X) = \{x : \text{there exists } r > \epsilon \text{ such that } B(x, r) \subseteq X\},$$

$$k_\epsilon(X) = \{x : \text{for all } r > \epsilon, B(x, r) \cap X \neq \emptyset\},$$

and where $\epsilon \geq 0$ and $S_- \subseteq S_+$. Intuitively, $i_\epsilon(X)$ can be thought of as a shrinking of the interior of $X$, and $k_\epsilon(X)$ can be thought of as an expansion of the closure of $X$. For $\epsilon = 0$, these concepts reduce to their usual counterparts in the ordinary topology of $\mathbb{R}^3$.

When $[S_-, S_+]$ is an $\epsilon$-regular interval, the set of points in $S_+ \setminus S_-$ plays the role a “thickened boundary” that hides small imperfections and inconsistencies. This concept embodies what is perhaps the most striking difference between the two major approaches to assigning semantics to inconsistent data, namely, that the class-of-sets semantics does not require preservation of the specific combinatorial structure of the model’s boundary. Thus, the class-of-sets semantics does not guarantee the topological or even the geometric similarity of the sets in the class, at least not in the classical sense. On the other hand, if $[S_-, S_+]$ is an $\epsilon$-regular interval, the Hausdorff distances between the sets $S_-, S_+$, their complements, and their boundaries are all less than $\epsilon$ [9].

### 2.2.3 Class-of-sets semantics in boundary representations

We return now to the motivation for the class-of-sets approach, in the context of boundary representation. In practice, the amount of inconsistency in a representation is determined by the algorithms being applied to the representation. If the same underlying data $\Delta$ is to be used by a range of algorithms, with varying precision, its semantics will in practice be determined by PMC algorithms of different precision, corresponding, say, to the functions $\Pi_\Delta$ and $\Pi'_{\Delta'}$, which will therefore determine different classes of sets $[S_{\text{in}}, S_{\text{out}}]$ and $[S'_{\text{in}}, S'_{\text{out}}]$. This situation arises often when a representation is used in a collaborative environment, or in the case of translation between systems with different precision.

Suppose now that $\Delta$ is a boundary representation, and the precision of $\Pi_\Delta$ is a constant $\delta$ that is measured by the maximum error in distance between the faces, edges, and vertices in $\Delta$. In this case, the $\delta$-cover defined in (3) plays the role of the thickened boundary representation, with all other points classifying either in or out, and thereby inducing the set interval $[S_-, S_+]$. However, in order to ensure that the PMC function $\Pi_\Delta$ makes sense, this interval must be $\epsilon$-regular for some predefined value $\epsilon \geq \delta$. For example, if contrary to the assumption in Section 2.2.1, $\delta$ is smaller than the maximum size gap between faces, edges, and vertices, then $S_+ \setminus S_-$ may not bound any interior. Even if $\delta$ is larger than the maximum gap size, but $[S_-, S_+]$ is not $\epsilon$-regular, the closeness of sets in the interval cannot be guaranteed.

Furthermore, $\epsilon$-regularity of the interval $[S_-, S_+]$ is a necessary but not sufficient condition for using a PMC algorithm with precision $\delta$, $\delta \leq \epsilon$, on the data in $\Delta$, because $\epsilon$-regularity does not say anything about the topological properties of the sets in the interval. In particular, there are no guarantees that the boundaries of $S_-$ and $S_+$ are homeomorphic or in the same homotopy class. If such a guarantee is required, it must come from additional knowledge or from an assumption based on the origin and type of data in the

\[\text{This is analogous to the fact that the classical notion of regularity guarantees homogeneity of the solid's interior but does not say anything else about the topology of set [1].}\]
boundary representation $\Delta$. We will give an example of such an assumption in Section 3.2.4 in the context of a specific PMC algorithm, but here we make the following observation. Even without any further theorem, the concept of $\epsilon$-regularity has permitted us to do something we could not do before, namely, to formulate a notion of a valid model with data that is deemed unusable by previously existing definitions, yet corresponds to a perfectly reasonable situation in the real world. Just as the concept of a regular set is useful, even in the absence of a proof of regularity in some real-world application, so here the concept of an $\epsilon$-regular interval is useful for the description of multiple and/or imprecise representations.

3 Comparison of the semantic models

3.1 General outline

A general outline, describing typical circumstances under which each of the two semantic models is appropriate, is as follows. If it is appropriate to make assumptions such as those of Section 2.1 where, for a NURBS representation, conditions were given permitting a proof that $S \models \Delta$, then for many applications the single-set semantics will be the most useful choice. On the other hand, if it is not appropriate to make the assumptions of Section 2.1, it is appropriate to make some other assumption, such as an assumption implying that (3) determines sets satisfying (2), then the class-of-sets semantics is the most useful choice.

For example, consider the straightforward algorithm to transform $S$ by a rigid motion, by applying the rigid motion to the control points of each of the trimmed patches $F[D]$, to the control points of each explicit boundary curve $b$, and to each explicit geometric vertex $v$, using ordinary floating-point arithmetic. Let the computed representation of the transformed set be $\Delta_R$, and let $R(S)$ be the theoretically exact result of applying the rigid motion $R$ to $S$. It is easy to prove, using standard a priori bounds for floating-point arithmetic [20, Ch. 3], that under weak conditions the computed representation $\Delta_R$ is well-formed, and specifies a unique set $S_{\approx appr}$ such that $S_{\approx appr} \models \Delta_R$, and the Hausdorff distance between $R(S)$ and $S_{\approx appr}$ is on the order of floating-point precision (about $10^{-7}$ for IEEE single precision [21]). In fact, it is possible to prove that the faces of the two Quasi-NURBS sets are close, on a pairwise basis. It is clearly worthwhile to seek and obtain strong conclusions like these, if the hypotheses of the single-set semantics are justified.

As a second example, we might use numerical quadrature techniques, in the context of the single-set semantics, to estimate the area of a face of the represented set $S$. Rigorous proofs about such an operation would not be possible using the class-of-sets approach alone: it will be necessary to introduce additional hypotheses about analytic and/or topological properties of the geometric model, such as those used in the single-set theory. Note that there is nothing to prevent use of the single-set approach in conjunction with the class-of-sets approach.

It may also happen, however, that it is not appropriate to make the assumptions of Section 2.1. For example, suppose we are dealing with a representation $\Delta$ that suffers from ill-definition arising from the circumstances described at the beginning of Section 2.2. If it is appropriate to make some other assumption, such as an assumption implying that (3) determines sets satisfying (2), then the class-of-sets semantics is the most useful choice. Indeed, there is no possibility, in such a case, of using single-set semantics, or of obtaining results about the topological form of a computed approximation to a set transformed by a rigid motion. But this does not mean that nothing can be proved. Suppose that $\Delta$ represents a valid solid in a sense that the virtual interval $[S_, S_+]$ is $\epsilon$-regular and $\delta$ corresponds to the maximum gap size for the PMC algorithm, as described in Section 2.2.1. If representation $\Delta$ is transferred to another system without error, but the new system relies on a PMC algorithm that assumes a gap size $\delta' < \delta$, then it is straightforward to show that the virtual interval $[S'_-, S'_+]$ is still $\epsilon$-regular in the new system as long as $S'_- \supseteq S_-$ and $S'_+ \subseteq S_+$ are maintained. In this case, no repair of the transferred model is needed, even though its topological form may differ from the original model. For other examples of how class-of-sets semantics may be used in the context of data translation, the reader is referred to [22].

In what follows, the differences between the two semantics will be illustrated in the context of a certain PMC algorithm, which we describe in the following section.
3.2 Example: a PMC algorithm

It has been observed [23, 24] that PMC is a fundamental operation underlying many other operations in solid modeling. In this section we will prove statements about a PMC algorithm for extruded solids, a case which is general enough to be of practical interest, but simple enough to be transparent. We will use these results to illustrate the remarks of Section 3.1.

The algorithm discussed here is implemented using finite-precision floating-point arithmetic, is applicable to extruded solids (defined by means of generalized cylinders [25, Sec. 8.3]), and is a variant of an algorithm proposed by Nishita et al [26]. We will refer to this algorithm as the NSK algorithm; it is based on an idea (known to Poincaré [27, p. 49]) for computing the winding number, combined with linear separation and repeated subdivision.

The fact that our results apply only to parametric extruded solids means, of course, that we are really only dealing with a two-dimensional problem in disguise. Similar results, for more general solids, will require different formal machinery. On the other hand, the extruded-solid example is general enough to illustrate the trade-offs between the two semantical approaches.

A generalized cylinder (intended to specify $\partial S$) can be defined [25, Sec. 8.3] as the Cartesian product of a simple closed planar NURBS curve $C(u)$, $0 \leq u \leq 1$, and a closed interval on the real line. (We will suppose that the endpoints of the NURBS curve interpolate its initial and final control points.) Then, an extruded solid (based on the generalized cylinder), can be defined as

$$S = \{x \in \mathbb{R}^3 : (x_1, x_2) \in \text{int}(C(u)), 0 < x_3 < d\}$$

where $\text{int}(C(u))$ is the open interior of the bounded region defined by $C(u)$, whose existence is guaranteed by the Jordan curve theorem. In the following, however, rather than requiring that the cross-sections of the extruded solid be defined by a simple closed curve, we will permit instead a finite sequence of NURBS curves, $C_1(u), \ldots, C_n(u)$, forming a cycle, as illustrated in Figure 5. There may be gaps in the chain of curves: the interpretation of the dotted lines in Figure 5 will vary, below, depending on the context. There may also be self-intersections, and overlaps between segments $C_i(u)$ and $C_i'(u)$.

3.2.1 The Poincaré algorithm

All points in $\mathbb{R}^2$, set in boldface, refer to quantities stored exactly in the computer.

Suppose first that each $C_i(u)$ is a straight-line segment $(1 - u)v^{(i-1)} + uv^{(i)}, 0 \leq u \leq 1, i = 1, \ldots, n$, where each $v^{(i)}$ lies in the $x_1 - x_2$ plane. The winding number of $p$ is defined as the sum over $i$ of the signed angles between $v^{(i)} - p$ and $v^{(i-1)} - p$, divided by $2\pi$. Poincaré [27] pointed out the now well-known fact that it is sufficient to count the number of times that the vector $v^{(i)} - p$ passes through a given predefined direction (say, $x_1 = 0, x_2 = 1$) in order to compute the winding number.

The angle through which $v - p$ turns as $v$ moves along the polygon from $v^{(i-1)}$ to $v^{(i)}$ is defined to be the angle between the two vectors, measured positively in the counterclockwise direction, and chosen in the
interval $[-\pi, \pi]$. We omit discussion of the ambiguous case when the angle is $\pm\pi$, and the cases when $u = p$ or $w = p$, since these will not be needed here.

A pole crossing means that in following the boundary of the polygon from $v = u \equiv v^{(i-1)}$ to $v = w \equiv v^{(i)}$, $v - p$ passes through the positive $x_2$-axis (the “North Pole”). If one or the other (but not both) of $u$ and $w$ is on the positive $x_2$-axis, a half pole crossing is said to have occurred. In either case, if the angle from $u - p$ to $w - p$ is positive, we say that a positive pole crossing has occurred, and the algorithm increments the counter $W$ by 1 (or by 1/2 if $w_1 - p_1 = 0$, $w_2 - p_2 > 0$ or if $u_1 - p_1 = 0$, $u_2 - p_2 > 0$); if the angle from $u - p$ to $w - p$ is negative, we say that a negative pole crossing has occurred, and the algorithm decrements the counter $W$ by 1 (or by 1/2 if $w_1 - p_1 = 0$, $w_2 - p_2 > 0$ or if $u_1 - p_1 = 0$, $u_2 - p_2 > 0$).

Define $v^{(n)} = v^{(0)}$, and initialize the variable $W$ to 0. For each $i = 1,...,n$ let $u \leftarrow v^{(i-1)}$ and $w \leftarrow v^{(i)}$, and change the value of $W$ (which at the end will contain the value of the winding number) according to the following.

If $u_1 - p_1 > 0$, there is a pole crossing if and only if
\[
\begin{align*}
&\bullet \; w_1 - p_1 \leq 0 \quad \text{and} \\
&\bullet \; -(w_2 - p_2)(w_1 - p_1) + (u_1 - p_1)(w_2 - p_2) > 0.
\end{align*}
\] (5)

It is a half pole crossing if $w_1 = p_1$, in which case $W \leftarrow W + 1/2$; otherwise, $W \leftarrow W + 1$.

If $u_1 - p_1 < 0$, there is a pole crossing if and only if
\[
\begin{align*}
&\bullet \; w_1 - p_1 \geq 0 \quad \text{and} \\
&\bullet \; -(w_2 - p_2)(w_1 - p_1) + (u_1 - p_1)(w_2 - p_2) < 0.
\end{align*}
\] (6)

It is a half pole crossing if $w_1 = p_1$, in which case $W \leftarrow W - 1/2$; otherwise, $W \leftarrow W - 1$.

If $u_1 = p_1$, there is a half pole crossing if $w_1 - p_1 \neq 0$; in this case, $W \leftarrow W + 1/2$ if $w_1 - p_1 < 0$, and $W \leftarrow W - 1/2$ if $w_1 - p_1 > 0$. Note that is is not risky to check “$u_1 = p_1$?” using floating-point arithmetic, since the $v^{(i)}$ and $p$ are data items, uncontaminated by round-off error due to previous calculation. In particular, when $v_1^{(0)} - p_1 = 0$, the half pole crossing on initially leaving $v^{(0)}$, and the half pole crossing on later arriving at $v^{(n)} \equiv v^{(0)}$ will both be recognized. Neither is there any risk or difficulty in checking the sign of $w_1 - p_1$ in floating point, and therefore there is no worry about “almost” crossing the pole, but missing it, or “accidently” crossing the pole when we should not.

There is, however, a potential difficulty in the evaluation of the inner products in (5) and (6). If the inner product is close to zero, the change in angle is in absolute value close to $\pi$, and it is possible to miss a pole crossing, or add a spurious one. Because of round-off error, the vector $v - p$ may be sent back in the wrong direction to an almost diametrically opposite point, and we would neglect to add (subtract) 1 to (from) $W$, or we would do so when we should not. This corresponds to a $p$ which is very close to the boundary: see Figure 6.

![Figure 6: p close to the boundary](image)

This difficulty can be avoided in a fail-safe manner by means of the standard a priori bounds on floating-point arithmetic, i.e., we can give sufficient conditions that, say, the sign of the inner product in (6) is correctly computed. Furthermore, the width of the region, near the line-segments in the polygon, whose
status is ambiguous because the sufficient conditions are not applicable, is narrow. It is this “fail-safe”
approach that allows us to apply the arguments above, which applied to numbers exactly represented in the
computer, in the applications described below. We note that the use of a priori bounds for other, similar
purposes can be found in the computational-geometry literature [28].

We now outline the derivation of the sufficient conditions. Let \( f[l \circ b] \) be the floating-point result of
computing \( a \circ b \), and let

\[
M = \max\{|w_1 - p_1|, |w_2 - p_2|, |w_1 - p_1|, |w_2 - p_2|\}.
\]

Then [20, Ch.3] we have, when verifying (6) using floating-point arithmetic, that

\[
|((u_1 - p_1)(w_2 - p_2) - (u_2 - p_2)(w_1 - p_1))| - f[l][((u_1 - p_1)(w_2 - p_2) - (u_2 - p_2)(w_1 - p_1))]| \leq 8M^2 \eta + O(\eta^2),
\]

where \( \eta \) is the precision of the floating-point arithmetic being used (about \( 10^{-7} \) for IEEE single precision
[21]). Furthermore, in practice [20, pp. 113-114], we can omit the \( O(\eta^2) \) terms by making a small change in
\( \eta \), so that the bound (7) can be written as

\[
8M^2 \eta_0, \quad \eta_0 \approx 10^{-7}.
\]

Consequently, a sufficient condition that the second inequality in (6) is satisfied is that

\[
f[l][((u_1 - p_1)(w_2 - p_2) - (u_2 - p_2)(w_1 - p_1))] < -8M^2 \eta_0,
\]

and a sufficient condition that it is not satisfied is that

\[
f[l][((u_1 - p_1)(w_2 - p_2) - (u_2 - p_2)(w_1 - p_1))] > 8M^2 \eta_0.
\]

Suppose, on the other hand, that \( u_1 - p_1 < 0 \) and \( u_1 - p_1 \geq 0 \), but the second inequality in (6) cannot be
verified or excluded, since \( |f[l][((u_1 - p_1)(w_2 - p_2) - (u_2 - p_2)(w_1 - p_1))]| \leq 8M^2 \eta_0 \). In this case a straightforward
argument shows that the maximum distance from \( p \) to the line-segment \( u - w \) cannot exceed \( 16\sqrt{2}M \eta_0 \).

A similar analysis applies to the computation of the second inequality in (5).

### 3.2.2 The NSK algorithm

Let us now consider the case of curve segments that are not straight lines, but, instead, more general curves
such as those illustrated in Figure 5. (We suppose for the moment that the dotted lines are replaced by
straight-line segments.) The fundamental idea of the NSK algorithm is that this more general case can be
reduced to the straight-line case, in the following way. Consider the case when that the convex hull of, say, the
top NURBS segment (the one containing a loop) in Figure 5 can be separated from a point \( p \) by a straight line.

Then, for the purposes of computing the winding number, a NURBS segment whose endpoints interpolate
its initial and final control points can be replaced by a straight line joining the endpoints: whatever the
number of pole crossings for the NURBS segment, the net total will be \(-1\), 0 or 1, the number of crossings
for the straight-line segment joining the endpoints of the NURBS segment.

If it is not possible to separate a certain NURBS segment from \( p \), then the NSK algorithm recursively
subdivides the segment, placing one part of the segment on a stack, and recursively attempting to separate
the other part of the segment from \( p \). Suppose that the stopping criterion for this recursive subdivision
process is such that the distance from \( p \) to the original NURBS segment is less than or equal to \( \sigma \). (Note
that the derivation of the constant \( \sigma \) will itself involve use of the a priori bounds on floating-point operations.
We omit this derivation.) Then we can guarantee that the NSK algorithm will produce the correct value of
the winding number for all points \( p \) at distance at least

\[
\max\{\sigma, 22.8M \eta_0\}
\]

from the NURBS segments forming the cross-section of the ruled solid, and that it will either produce the
correct answer or the output “don’t know” for points closer than this. This is done by returning “don’t
know” if (5) or (6) ever fails to produce a guaranteed answer, or if the subdivision algorithm stops because
it is unable to separate \( p \) from a boundary segment. The algorithm never produces an incorrect answer, and
points of uncertainty are all within \( \max\{\sigma, 22.8M \eta_0\} \) of the boundary curve.
3.2.3 The algorithm in the context of single-set semantics

Consider the following class of extruded solids. The boundary patches (see Section 2.1) of the solid are defined by

\[ F_i(u, v) = \{(C_i(u), v) : 0 \leq u, v \leq 1\}, \]

where the \( C_i(u) \) are NURBS curves, \( i = 1, \ldots, n \), as described at the beginning of Section 3.2. Thus, the domain \( D \) of each patch is \( D_0 = [0, 1]^2 \), and the patches are not trimmed. The explicit boundary curves are defined by \((C_i(u), 0)\) and \((C_i(u), 1), 0 \leq u \leq 1\), along each side of the patch, and by a constant function (independent of \( u \)) along the ends of the patch: we suppose that

\[ \max\{\|b_i(v) - (C_{i-1}(1), v)\|, \|b_i(v) - (C_i(0), v)\|\} \leq \tau, \]

where \( \tau > 0 \), and that the pair of explicit vertices (see Section 2.1) at the corners of a patch are equal to \( b_i(0) \) and \( b_i(1) \), respectively [25, P3.3, p. 83]. See Figure 7.

![Figure 7: Example extruded solid](image)

It is important to notice, however, that many requirements must be fulfilled [7] in order for a collection of data \( \Delta \) to be well-formed, and define a subset \( S \) of \( \mathbb{R}^3 \) such that \( S \models \Delta \). In particular, the data illustrated in Figure 5 would not qualify, because of the self-intersection of one of the patches, and because of extraneous intersections between adjacent patches, which are supposed to intersect only along their boundaries. (Practical verification of these conditions is discussed in [7] and [10].)

Suppose, on the other hand, that the data satisfies the conditions necessary to guarantee that \( S \models \Delta \). With the single-set semantics of [7], the cross-sections \( C_{i-1} \) and \( C_i \) of two neighboring faces will be adjusted, by using the Whitney Extension Theorem, so that they coincide along the explicit boundary curve \( b_i(v) \) (see cross-section in Figure 7). If the adjusted face is \( F_i(u, v) + \epsilon_i(u, v) \), it can be shown that \( \|\epsilon_i(u, v)\| \) is smaller than \( \tau \) (and also that \( \epsilon_i \) satisfies a Lipschitz condition). We would therefore like to modify the tests (5) and (6) in a way that guarantees that the algorithm will return “don’t know” if the distance from \( p \) to the line-segment \( u - w \) is less than or equal to \( \tau \). This can be done in the subdivision step by requiring a separation of at least \( \tau \) between the convex hull of the NURBS segment and the point \( p \). (As a consequence, the stopping criterion \( \sigma \) must be at least as large as \( \tau \).) The theory of subsection 3.2.1 can be applied to the set of adjusted curves \( C_i(u) \), with \( b_i(0) \) viewed as exact. Thus, if we have the structure necessary to guarantee that \( S \models \Delta \), then we can add a fail-safe PMC algorithm to the list of methods that can be applied, with rigorously guaranteed results, to the approximate data \( \Delta \).

3.2.4 The algorithm in the context of class-of-sets semantics

Suppose now that we do not have the structure of Section 2.1, involving approximate trimmed NURBS patches. As mentioned at the beginning of Section 2.2, it may happen, in practice, that we have very little information at all about the structure of the boundary elements. In this section, however, we consider a case where a fair amount of structure is available (although not enough to guarantee that \( S \models \Delta \)). This is
a simple application of class-of-sets semantics, in a very special case, but it is sufficiently general to permit comparison between the single-set and class-of-sets approaches.

Suppose that the data is a collection of faces, as described in Section 3.2.3, but with unspecified gaps, loops and overlaps, as illustrated in Figure 5. We suppose also that no information is available about explicit boundary curves \( b \), or explicit vertices \( v \). It may nonetheless be true that the user is confident enough about the data to be willing to accept the verdict of the fail-safe NSK algorithm, with stopping criterion equal to, say, \( \delta/2 \), as to whether or not the data defines an extruded solid, and if so, that the algorithm will produce an \( \epsilon \)-regular interval containing the given data. The class-of-sets semantics provides a way to formulate a theorem supporting this intuition.

In order to proceed, we must introduce a hypothesis which ensures that the user has at least provided data that can be interpreted as a simple closed curve. As already mentioned, for practical applications we want to deal with situations like the one illustrated in Figure 5, where imperfections in the boundary are permitted. But if there are no limits at all on the size of gaps, loops and overlaps, then the data might vary wildly and be impossible to interpret as the boundary of a generalized cylinder. (We do not suggest that obtaining such wildly varying data is impossible in a solid-modeling application. We only claim that in practical circumstances, given a certain level of confidence in the model-building software, it may be reasonable to assume that it does not.)

We make the following assumption. Given the ordered set of segments provided by the user, convert it to a closed path by joining the endpoint of each edge to the starting point of its successor, by a straight-line segment, unless the endpoint and starting point are already coincident. This is done in cyclic fashion for all given segments, and the resulting closed path is assumed to have \( n \) segments, including any new ones added by the process just described. Let the ordered set of vertices in this path be denoted \( v^1, \ldots, v^n \), and let \( C_i \) be the curve between \( v^{(i)} \) and \( v^{(i+1)} \), \( i = 1, \ldots, n \), with indices computed modulo \( n \). We can now apply the NSK algorithm as in Section 3.2.3 to induce the virtual interval \([S_-, S_+]\) that is \( \epsilon \)-regular by assumption. This, however, is not enough to guarantee that the algorithm will produce results consistent with users’ expectations. The above construction is justified based on the expectation that the loops, overlaps and added line segments will be contained within the \( \epsilon \)-regular interval, but we have no way to guarantee that NSK algorithm will produce the same results when applied to the boundaries of \( S_-, S_+ \), and the corrected data. Such a guarantee may not always be critical, but to deal with circumstances where it is, we will introduce the assumption that the user has provided an upper bound \( \delta_o > 0 \) for the imperfections in the boundary data, in the following sense.

We suppose first that the boundary of the set

\[
\bigcup_{i=1,\ldots,n} \bigcup_{x \in C_i} B(x, \delta_o)
\]

is composed of two nested simple closed curves in the plane. The resulting nested simple closed curves define (by two applications of the Jordan curve theorem) a bounded set and an unbounded set which, together with an intermediate annular region, cover the plane (see Figure 8). We suppose finally that the winding number for points in the interior bounded region has absolute value equal to 1, and the winding number for
points in the unbounded region is equal to 0.

It is clear that with the above hypothesis, the NSK algorithm works correctly, as in Section 3.2.3, if we replace the constant $\tau$ by $\delta_o/2$. Indeed, the algorithm produces an interval $[S_-, S_+]$ satisfying (2), with $S_- = S_{in}$, $S_+ = S_{out}$. The interval is assumed to be $\epsilon$-regular, and the points on boundaries of $S_-$ and $S_+$ lie at most $\max\{\sigma(\delta_o), 22.8 M \eta_o\}$ away from the original NURBS segments in the boundary of the curve’s cross-section, with $\delta_o \leq \sigma(\delta_o)$. Thus, the intermediate annular region acts as a sort of black box, in which we can hide all of the inconsistencies illustrated in Figure 5, and all of the low-level vagaries of finite-precision arithmetic (as illustrated, for example, in [29, Figure 1]).

It is important, of course, that the user be aware of the implications of the hypotheses. For example, consider the case of a split-ring for the piston of an automobile engine. The hypothesis concerning the two nested simple closed curves, illustrated in Figure 8, may be satisfied for the split-ring, in its rest state outside the engine cylinder, for a certain value of $\delta_o$, as illustrated in Figure 9. In this case, the implied interval $[S_-, S_+]$ is also $\delta_o$-regular. On the other hand, if $\delta_o$ is increased slightly, the interval could remain $\delta_o$-regular, while at the same time the hypothesis that the winding number is correctly computed using the NURBS curves $C_i$ fails. Similarly, if the split-ring is moved to its compressed state within the engine cylinder, then both hypotheses about the winding number and $\delta_o$-regularity fail. These examples may seem to suggest that class-of-sets semantics comes with a significant overhead of additional assumptions that are not needed with single-set semantics. It should be kept in mind, however, that single-set semantics applies only under very strict conditions, such as those described in Section 2.1, and thus cannot be used in more general situations such as those illustrated in Figures 8 and 9.

4 Conclusion

In this note, we analyzed and compared two possible approaches for assigning a meaning to geometric models in the presence of such errors: the single-set semantics discussed interprets the data as a slight adjustment of the original data that preserves the topological form, and the class-of-sets semantics discussed interprets the data as a family of sets that are close to each other but may possess distinct topological structure. It was shown that each approach may be useful, depending upon the context and the information available. The single-set semantics assumes and enforces a stable topological and combinatorial structure, and provides as well a strong measure of geometric similarity, under small perturbations. This semantics requires a priori satisfaction of strong conditions (compared to the class-of-sets approach), but it also provides the ability to prove the strongest possible statements about the use of the given geometric data. The single-set semantics is likely to be the semantics of choice within a single-system environment. In contrast, the class-of-sets semantics puts very few restrictions on the geometric data, tolerates a much wider class of errors and uncertainties, and supports multiple interpretations of the same data. As such, the class-of-sets semantics will be most useful in situations involving multiple systems and/or uses of data, such as those arising in data translation.
and collaborative environments. While the class-of-sets semantics implies strong metric properties, it neither preserves nor guarantees the topological form of the represented set, and requires additional hypotheses or assumptions about the source or the use of data.

We have discussed the two approaches and their properties in the context of a particular popular PMC algorithm that underlies many other geometric computations. In the process, we made a number of specific decisions (for example, on geometric predicates) and assumptions (for example, on closing the gaps between the adjacent vertices). We do not claim that these choices are optimal, or even preferable to other possibilities. Their sole purpose is to explain the similarities and differences in the two possible methods of assigning semantics to geometric models, which we expect will persist and extend to other types of applications and algorithms.

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